

## FORMALITIES LETTER



\*OC000000005213826\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/545,015	04/07/2000	Seth Haberman	20429/1

David D Lowry  
Brown Rudnick Freed & Gesmer P C  
Box IP 18th Floor  
One Financial Center  
Boston, MA 02111



Date Mailed: 06/30/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- Small Entity Statement is unsigned.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/18/2000 SDENB081 00000030 09545015

01 FC:205

65.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Haberman et al.



Examiner: UNASSIGNED

Serial No.: 09/545,015

Art Unit: 2775

Filed: April 7, 2000

For: SYSTEM AND METHOD FOR PERSONALIZED MESSAGE  
CREATION AND DELIVERY

Commissioner for Patents  
Washington, D.C. 20231

Sir:

**COVER LETTER FOR DECLARATION**

I hereby state that the attached executed Inventors' Declaration is for the above-identified patent application **09/545,015** filed on April 7, 2000. The Inventors' Declaration accurately identifies the invention by Title, and the Inventors, Seth Haberman, of the State of New York and Chet Schuler, of the Commonwealth of Massachusetts, who signed the declaration on July 21, 2000 and August 14, 2000 respectively.

Respectfully submitted,

August 15, 2000

A handwritten signature in black ink, appearing to read "D. Lowry", written over a horizontal line.

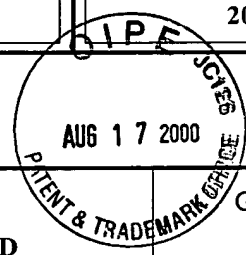
David D. Lowry (Reg. No. 38,538)  
BROWN, RUDNICK, FREED  
& GESMER, P.C.

Box IP, 18th Floor  
One Financial Center  
Boston, MA 02111  
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SECTOR 4

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
20429/1



In Re Application Of: **Haberman et al.**

Serial No.  
09/545,015

Filing Date  
April 7, 2000

Examiner  
UNASSIGNED

Group Art Unit  
2775

Invention: **SYSTEM AND METHOD FOR PERSONALIZED MESSAGE CREATION AND DELIVERY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
June 30, 2000  
Date

Enclosed herewith for filing are the following:

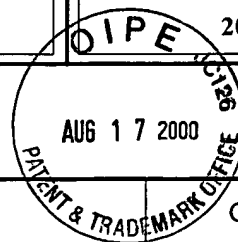
- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Executed verified small entity declaration(s)
  - ☒ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☒ Other (list):

Copy of Assignment Recordation filed on 8-15-00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
20429/1

In Re Application Of: **Haberman et al.**



Serial No.  
**09/545,015**

Filing Date  
**April 7, 2000**

Examiner  
**UNASSIGNED**

Group Art Unit  
**2775**

Invention: **SYSTEM AND METHOD FOR PERSONALIZED MESSAGE CREATION AND DELIVERY**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☒ Total number of independent claims = 2

☒ Total number of claims = 12

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due **\$65.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.

20429/1

In Re Application Of: **Haberman et al.**

Serial No.

09/545,015

Filing Date

April 7, 2000

Examiner

UNASSIGNED

Group Art Unit

2775

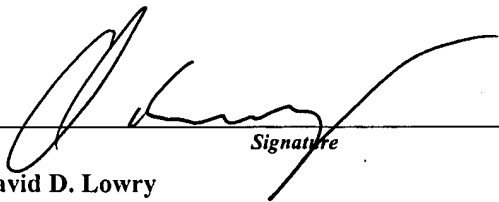
Invention: **SYSTEM AND METHOD FOR PERSONALIZED MESSAGE CREATION AND DELIVERY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$65.00** is to be paid as follows:

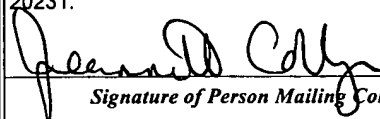
- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0369  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0369  
A duplicate copy of this sheet is enclosed.

  
*Signature*

David D. Lowry  
Registration No. 38,538  
Box IP, 18th Floor  
Brown, Rudnick, Freed & Gesmer  
One Financial Center  
Boston, MA 02111  
Tel: 617-856-8399  
Fax: 617-856-8201

Dated: **August 15, 2000**

I certify that this document and fee is being deposited on **8/15/00** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



*Signature of Person Mailing Correspondence*

**Jeannette Collymore**

*Typed or Printed Name of Person Mailing Correspondence*

CC: